

Effective on 10/01/2004. Patent fees are subject to annual revision.

# FEE TRANSMITTAL

## For FY 2005

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ ) 1,988.00

## Complete if Known

Application Number	10/753,067
Filing Date	January 6, 2004
First Named Inventor	Noel Lee
Examiner Name	Unknown
Art Unit	3632
Attorney Docket No.	P1566

## METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit Card ☐ Money Order

☐ Deposit Account ☐ None

 Deposit Account Number   
 Deposit Account Name 

The Director is hereby authorized to: (check all that apply)

- ☐ Charge fee(s) indicated below  
☐ Charge fee(s) indicated below, except for the filing fee  
☐ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17  
☐ Credit any overpayments

to the above-identified deposit account.

☐ Other (please identify): \_\_\_\_\_

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

## FEE CALCULATION

## 1. BASIC FILING FEE

Fee Description	Fee (\$)	Small Entity Fee (\$)	Fee Paid(\$)
Utility Filing Fee	790	395	790.00
Design Filing Fee	350	175	
Plant Filing Fee	550	275	
Reissue Filing Fee	790	395	
Provisional Filing Fee	160	80	
<b>Subtotal (1)</b>	<b>\$ 790.00</b>		

## FEE CALCULATION (continued)

## 2. EXTRA CLAIM FEES

Fee Description	Fee (\$)	Small Entity Fee (\$)
Each claim over 20	18	9
Each independent claim over 3	88	44
Multiple dependent claims	300	150
For Reissues, each claim over 20 and more than in the original patent	18	9
For Reissues, each independent claim more than in the original patent	88	44
<b>Total Claims</b>		
<b>Extra Claims</b>		
<b>Fee (\$)</b>		
<b>Fee Paid (\$)</b>		
- 20 or HP = _____ x _____ = _____ HP = highest number of total claims paid for, if greater than 20		
<b>Indep. Claims</b>		
<b>Extra Claims</b>		
<b>Fee (\$)</b>		
<b>Fee Paid (\$)</b>		
4 - 3 or HP = 1 x 88.00 = 88.00 HP = highest number of independent claims paid for, if greater than 3		
<b>Multiple Dependent Claims</b>		
<b>Fee (\$)</b>		
<b>Fee Paid (\$)</b>		

Subtotal (2) \$ 88.00

## 3. OTHER FEES

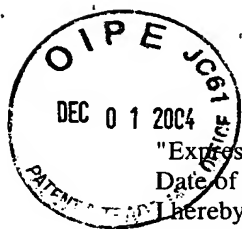
Fee Description	Fee (\$)	Small Entity Fee (\$)	Fee Paid(\$)
1-month extension of time	110	55	
2-month extension of time	430	215	
3-month extension of time	980	490	980.00
4-month extension of time	1,530	765	
5-month extension of time	2,080	1,040	
Information disclosure stmt. fee	180	180	
37 CFR 1.17(q) processing fee	50	50	
Non-English specification	130	130	
Notice of Appeal	340	170	
Filing a brief in support of appeal	340	170	
Request for oral hearing	300	150	
Other: Late Oath Surcharge			130.00
<b>Subtotal (3)</b>	<b>\$ 1110.00</b>		

## SUBMITTED BY

Signature	<i>May Lin DeHaan</i>	Registration No. (Attorney/Agent)	42,472	Telephone	831-649-8800
Name (Print/Type)	May Lin DeHaan	Date	December 1, 2004		

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



"Express Mail" mailing label number: EV422811960US

Date of Deposit: December 1, 2004

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR § 1.10 on the date indicated above and is addressed to:

Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Typed or Printed Name of Person Mailing Paper or Fee: Shirley S. Hays

Signature: Shirley S. Hays

PATENT  
Docket No. P1566

IN THE  
UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: NOEL LEE  
KENDREW LEE  
DEMIAN MARTIN

SERIAL NO.: 10/753,067 EXAMINER: UNKNOWN

FILED: JANUARY 6, 2004 ART UNIT: 3632

FOR: APPARATUS AND METHOD FOR A SPEAKER MOUNTING  
SYSTEM INCLUDING A LIGHTPIPE AND A ROTATING BASE  
STAND

MAIL STOP MISSING PARTS  
COMMISSIONER FOR PATENTS  
P.O. BOX 1450  
ALEXANDRIA, VA 22313-1450

TRANSMITTAL LETTER

Dear Sir:

In response to the Notice to File Missing Parts of Non-Provisional Application dated July 2, 2004, enclosed herewith are the following:

1. Response to Notice to File Missing Parts of Non-Provisional Application (2 pages);
2. Declaration and Power of Attorney for Patent Application (2 pages);
3. PTO copy of Notice to File Missing Parts of Non-Provisional Application (2 pages);

4. Petition for Extension of Time Under 37 CFR § 1.136(a) (1 page);
5. Fee Transmittal for FY 2005 (1 page);
6. Check No.6957 in the amount of \$1,988.00 in payment of basic filing fee (\$790.00), extra claim fee (\$88.00), late surcharge fee (\$130.00), and three month extension of time (\$980.00); and
7. Post card in acknowledgment of receipt of all transmitted material.

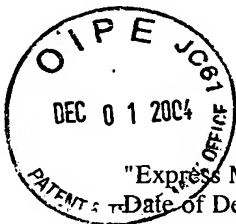
Please date-stamp the enclosed post card and return same to the undersigned in acknowledgment of receipt of all transmitted materials.

Respectfully submitted,



May Lin DeHaan  
Reg. No. 42,472

MLD/pa  
Dated: December 1, 2004  
LARIVIERE, GRUBMAN & PAYNE, LLP  
P. O. Box 3140  
Monterey, CA 93942  
(831) 649-8800



"Express Mail" mailing label number: EV422811960US

Date of Deposit: December 1, 2004

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR § 1.10 on the date indicated above and is addressed to:

Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Typed or Printed Name of Person Mailing Paper or Fee: SHIRLEY SHEPARD

Signature: Shirley Shepard

PATENT  
Docket No. P1566

**IN THE  
UNITED STATES PATENT AND TRADEMARK OFFICE**

APPLICANTS: NOEL LEE  
KENDREW LEE  
DEMIAN MARTIN

SERIAL NO.: 10/753,067 EXAMINER: UNKNOWN

FILED: JANUARY 6, 2004 ART UNIT: 3632

FOR: APPARATUS AND METHOD FOR A SPEAKER MOUNTING  
SYSTEM INCLUDING A LIGHTPIPE AND A ROTATING BASE  
STAND

MAIL STOP MISSING PARTS  
COMMISSIONER FOR PATENTS  
P.O. BOX 1450  
ALEXANDRIA, VA 22313-1450

**RESPONSE TO NOTICE TO FILE MISSING  
PARTS OF NONPROVISIONAL APPLICATION**

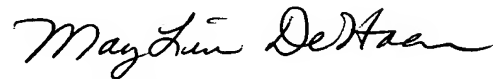
Dear Sir:

In Response to the Notice to File Missing Parts of a Nonprovisional Application dated  
July 2, 2004, enclosed please find:

- \$790.00 as payment of the basic filing fee for large entity as set forth in 37 CFR  
§ 1.27;

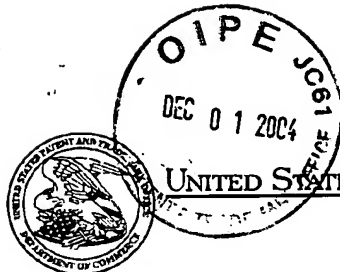
- \$130.00 as payment of late oath or declaration surcharge fee as set forth in 37 CFR § 1.16(e);
- \$88.00 as payment of extra claim fee; and
- Declaration and Power of Attorney for Patent Application in compliance with 37 CFR § 1.63.

Respectfully submitted,

A handwritten signature in cursive script, reading "May Lin DeHaan".

May Lin DeHaan  
Reg. No. 42,472

MLD/pa  
Dated: December 1, 2004  
LARIVIERE, GRUBMAN & PAYNE, LLP  
P.O. Box 3140  
Monterey, CA 93942  
(831) 649-8800



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov

APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/753,067	01/06/2004	Noel Lee	P1566

CONFIRMATION NO. 3424

LaRiviere, Grubman & Payne, LLP  
 P.O. Box 3140  
 Monterey, CA 93942

## FORMALITIES LETTER



\*OC000000013136033\*

Date Mailed: 07/02/2004

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

12/06/2004 MBEYENE1 00000048 10753067

FILED UNDER 37 CFR 1.53(b)

02 FC:1001 790.00 OP  
 03 FC:1051 130.00 OP  
 04 FC:1201 88.00 OP

*Filing Date Granted*Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Additional claim fees of \$86 as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$986 for a Large Entity

- \$770 Statutory basic filing fee.
- \$130 Late oath or declaration Surcharge.
- Total additional claim fee(s) for this application is \$86

- \$86 for 1 independent claims over 3.

Replies should be mailed to: Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

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*A copy of this notice MUST be returned with the reply.*

T. TU  
\_\_\_\_\_  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE